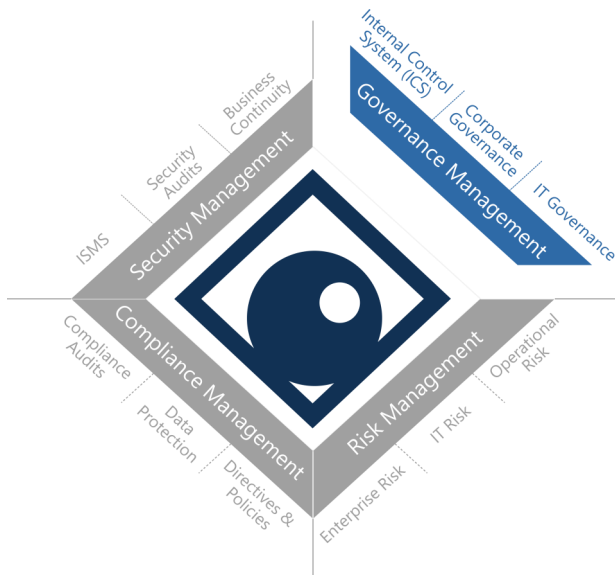


# White paper Internal control system (ICS) with ibi systems iris



*ICS is one of the purposes in Governance Management of ibi systems iris*

*With ibi systems iris you optimize your internal control system (ICS) and reduce the effort while at the same time increasing transparency. Your processes are mapped individually and are optionally supported by the standards that can be used by ibi systems iris, such as COSO, COBIT or ITIL.*

With ibi systems iris you manage your internal control system in an effective and efficient way based on your requirements – always individually and easily customizable. The software supports the definition of the control context as well as the modeling of organizational structures, assets, processes and internal and external policies.

by specified planning – with automated notifications to the responsible persons. It is irrelevant whether the controls are of a strategic or operational nature or in which periods they should be carried out.

The performing of regular assessments and controls is greatly simplified by assessment templates as well as

Through the workflow-based answering of the individual controls, it is possible to quickly evaluate them and to detect findings as well as vulnerabilities. Comments, instructions and additional documents can of course be filed for verification and documentation.

*The wizard-based assessment of controls makes it possible to describe findings in detail by comments or documents and to plan measures*

Quarterly controls ⓘ		- Apply status -	
Correct assignment and prioritization of tickets? (*) ⓘ	Yes	Completed	🗨️ 📄
Monitored ticket status? (*) ⓘ	Yes	Completed	🗨️ 📄
Met deadlines? (*) ⓘ	Yes	Completed	🗨️ 📄
Closed tickets? (*) ⓘ	No	Completed	🗨️ 📄
Useful follow ups? (*) ⓘ	No	Completed	🗨️ 📄
Findings			
<b>Caption</b>			+ Add new finding ▼
Not all tickets have been closed			Delete mapping ▼
Incorrect follow ups			Delete mapping ▼

“By using ibi systems iris, we were able to completely replace our Excel administered control system and shift the focus of assessment administration to assessment content, adequate evidence and follow up actions.

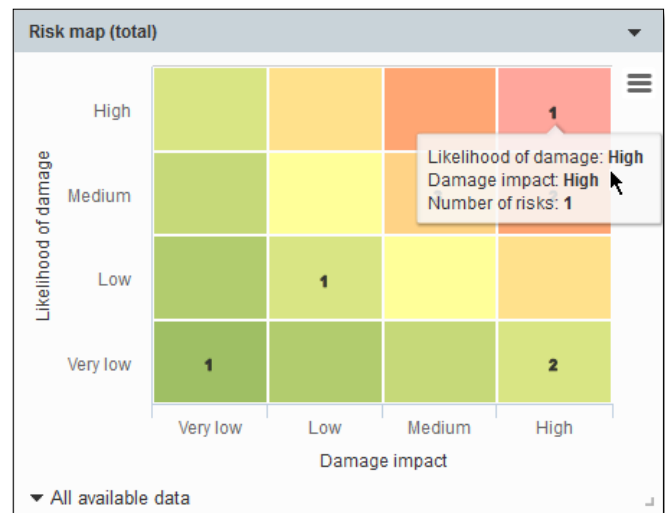
Through centralized documentation, reports and evidence are available at the push of a button, making it the entry point for assessments and the origin for customer reporting.”

Markus Wolf  
CACEIS Bank S.A.,  
Germany Branch

As a result, corresponding risks can be analyzed and appropriate measures can be defined. ibi systems iris supports the process with detailed risk monitoring and systematic measure tracking.

Customizable reporting, whether through dashboards or freely configurable and exportable reports, completes the capabilities of ibi systems iris. As a result of the checks carried out, an overview of the entire internal control system with the current treatment of the relevant risks as well as the implementation of the measures is possible – at any time.

Reports and dashboards  
can be customized according  
to your needs



## Internal control system with ibi systems iris

- 1) Creation of internal policies and compendia
- 2) Automated derivation of assessments or development of assessment templates
- 3) Detailed assessment planning, performing and reviewing for comprehensible documentation
- 4) Manage findings and implement measures including status monitoring
- 5) Derivation of risks, risk strategy and risk monitoring
- 6) Generation of customizable reports
- 7) Continuous improvement of the internal control system



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